

Financial Services Guide

The financial services referred to in this financial services guide (FSG) are offered by Equity Story Pty Ltd (Equity Story), ABN 94127 714 998, AFSL No. 343 937.

This FSG sets out the services that we can offer you. It is designed to assist you in deciding whether to use any of those services and contains important information about:

- The services we offer you.
- How we are paid.
- Our internal and external dispute resolution procedures and how you can access them.
- Arrangements we have in place to compensate clients for losses.

From when does this FSG apply?

This FSG applies from 6th March 2025 and remains valid unless a further FSG is issued to replace it.

What other disclosure documents may I receive?

As we are authorised to provide only general advice and do not deal in or arrange financial products, we will not issue you a Product Disclosure Statement (PDS). Where our general advice relates to a particular financial product, we encourage you to obtain and review the relevant PDS or disclosure material directly from the product issuer before making any investment decisions.

How can you instruct us?

You can contact us to give us instructions by post, phone, or email on the contact number or details at the bottom of this FSG.

Who is responsible for the financial services provided?

Equity Story is responsible for the financial services that will be provided to you, including the distribution of this FSG.

What kinds of financial services are you authorised to provide to me and what kinds of financial product/s do those services relate to?

Equity Story is authorised to provide general securities and managed investment scheme advice to retail clients.

Will I receive tailored advice?

We only provide General Advice to our Clients. General Advice does not take into account your particular needs and requirements and therefore you should consider the appropriateness of any advice you receive in light of your circumstances prior to acting upon it.

What information do you maintain in my file?

We are committed to implementing and promoting a privacy policy, which will ensure the privacy and security of your personal information. A copy of our privacy policy is available on request. A copy is also available on our website, www.equitystory.com.au/privacy-policy

How will I pay for the services provided?

Services are provided as either:

- A monthly subscription
- An annual subscription
- A multi-year subscription
- A one off events/ service

The amount you will pay is dependant on the service you acquire and this amount will be fully disclosed to you prior to you receiving a paid subscription service.

Payment is accepted by credit card (Payment gateway provided by Online Payments Platform | Payment Gateway | Eway Australia), or via direct deposit into Equity Story's business bank account (Westpac)

Details of Associations or Relationships

Equity Story Funds Pty Ltd, a related body corporate, is the Investment Manager of Equity Story branded managed fund. As a result, we have a relationship with the product issuers of these managed funds. These relationships in no way influence the provision of financial services by Boutique Capital Pty Ltd to you.

Equity Story Securities Pty Ltd, a related body corporate, serves as the investment-finance arm of Equity Story Group Ltd. Accordingly, it sources and offers specialised investment opportunities to eligible sophisticated, wholesale and professional investors. This affiliation does not influence the independent financial services provided to you by Baker Young Ltd.

What should I do if I have a complaint?

A copy of our complaints management policy is available on request. A copy is also available on our website: www.equitystory.com.au/wp-content/uploads/2021/11/Complaints-Management-Policy.pdf
Contact us and tell us about your complaint. We will do our best to resolve it quickly.

Equity Story is a member of the Australian Financial Complaints Authority (AFCA). If your complaint cannot be resolved to your satisfaction by us you have the right to refer the matter to AFCA. AFCA can be contacted at

Australian Financial Complaints Authority Limited
GPO Box 3
Melbourne VIC 3001
Toll Free: 1800 931 678
Email: info@afca.org.au
Website <https://www.afca.org.au/>

Does the business have Insurance in place?

In accordance with Section 912B of the Corporations Act 2001, Equity Story has a professional indemnity insurance policy (PI policy) in place which covers the work done for it by its representatives and employees.

Electronic Delivery of Disclosure Notices

Please note that we may at times provide correspondence and disclosure notices (including Financial Services Guides and Product Disclosure Statements) to you electronically, via email or links to websites etc. If you have provided your email address to Us we may use that email address for correspondence and disclosure notices. Should you not wish to be sent disclosure documents electronically please advise us and we will update our records accordingly.

Any questions?

If you have any further questions about the financial services Equity Story provides, please contact us. Please retain this document for your reference and any future dealings with Equity Story.

Contact details

Equity Story Pty Ltd
PH: (02) 9907 9562
Email: support@equitystory.com.au
Address: Level 6, 22 Pitt Street, Sydney NSW 2000